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47394 7590 06/29/2009

HIIT GAINES, PC  
ALCATEL-LUCENT  
PO BOX 832570  
RICHARDSON, TX 75083

10/05/2009 RMEBRAH1 00000104 122325 10803576

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*Patty Giebler* (Depositor's name)  
*Patty Giebler* (Signature)  
*9-28-09* (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,576	03/18/2004	Timofei Nikita Kroupenkine	KROUPENKINE 22-23-6-13-19	5945

TITLE OF INVENTION: NANOSTRUCTURED BATTERY HAVING END OF LIFE CELLS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	09/29/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
MAPLES, JOHN S	1795	429-188000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

*Hitt Gaines, PC*  
*2*  
*3*

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PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

*① Alcatel-Lucent USA Inc.*  
*Murray Hill, NJ (US)*

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

*AND ② mPhase Technologies, Inc.*  
*Norwalk, CT (US)*

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
 b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature *Patty Giebler*  
Typed or printed name *Patty Giebler*

Date *9-28-09*  
Registration No. \_\_\_\_\_

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(Depositor's name)

(Signature)

(Date)

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*Patty Giebler*

Date

*9-28-09*

Typed or printed name

*Patty Giebler*

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